Simple geodesics in arithmetic surfaces Mapping class group dynamics and Diophantine approximation

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1 The modular group $\Gamma = \mathsf{PSL}_2(\mathbb{Z})$ and its orbifold $\mathbb{M} = \Gamma \setminus \mathbb{HP}$

2) The modular torus $\mathbb{M}' = \mathsf{\Gamma}' ackslash \mathbb{HP}$ and its simple geodesics

3 Generalizing to other arithmetic surfaces

Periods of modular forms along simple geodesics

Modular group $PSL_2(\mathbb{Z})$ acting on the hyperbolic plane \mathbb{HP} $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ $T = \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}$ $R = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ $L = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$



Tiling \mathbb{HP} under the action of the modular group $PSL_2(\mathbb{Z}) = \mathbb{Z}/2 * \mathbb{Z}/3$ by the (Farey) ideal triangulation together with its (Bass-Serre) dual tree.

Loops in the modular orbifold $\mathbb{M} = \mathsf{PSL}_2(\mathbb{Z}) \backslash \mathbb{HP}$

Free homotopy classes of	Conjugacy classes in
oriented loops in ${\mathbb M}$	$\pi_1(\mathbb{M}) = PSL_2(\mathbb{Z})$
Around conic singularity i or j	Elliptic: S or $T^{\pm 1}$
Suround <i>n</i> times the cusp ∞	Parabolic: $R^n, n \in \mathbb{Z}$
∃! geodesic representative	Hyperbolic:
$\gamma_{\mathcal{A}}$ of length $\lambda_{\mathcal{A}}$	$ Tr(A) = 2\cosh\left(\frac{1}{2}\lambda_A\right)$



Conjugacy classes and cyclic binary words

Euclidean monoid

The monoid $PSL_2(\mathbb{N})$ is freely generated by $L = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ and $R = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$



Conjugacy class [A] of an infinite order $A \in PSL_2(\mathbb{Z})$: $[A] \cap PSL_2(\mathbb{N})$: cyclic permutations of an L&R-word $\neq \emptyset$. Class is primitive \iff cyclic word is primitive. Class is hyperbolic $\iff \#L > 0$ and #R > 0.

Continued fractions and geodesics in $\ensuremath{\mathbb{M}}$

Every
$$\gamma \in \mathbb{R}_{\geq 1}$$
 has a *unique* Euclidean continued fraction expansion
 $\lfloor c_0, c_1, \ldots \rfloor = c_0 + \frac{1}{c_1 + \ldots} = R^{c_0} L^{c_1} \cdots (\infty)$ with $c_j \in \mathbb{N}_{\geq 1}$.
The sequence (c_j) is finite if and only if γ is rational; otherwise, it is:
periodic $\iff \gamma$ is quadratic, and fixed by $R^{c_0} \ldots L^{c_{2p-1}} \in \mathsf{PSL}_2(\mathbb{N})$.
bounded $\iff \gamma$ is quadratic or transcendental ? (Conjecture [Sha92, §4].)

The axis of
$$A = R^{a_0} \dots L^{a_{2n-1}} \subset R \cdot \mathsf{PSL}_2(\mathbb{N}) \cdot L$$
 is $(\alpha_-, \alpha_+) \subset \mathbb{HP}$ with

$$\alpha^+ = \lfloor \overline{a_0, \dots, a_{2n-1}} \rfloor \qquad -1/\alpha^- = \lfloor \overline{a_{2n-1}, \dots, a_0} \rfloor$$

For all $-1/\alpha_{-} = [a_{-1}, a_{-2}, \dots]$ and $\alpha_{+} = [a_{0}, a_{1}, a_{2}, \dots]$ in $\mathbb{R}_{>1}$, the geodesic $(\alpha_{-}, \alpha_{+}) \subset \mathbb{HP}$ intersects \triangle according to the sequence a_{n} .

Lagrange spectrum and heights of geodesics

The size of c_n measures the depth of the n^{th} excursion to the cusp:

Horoball $\{\Im(z) > h\} \subset \mathbb{HP}$ at height $h \ge 1$ projects to $\mathbb{B}(h) \subset \mathbb{M}$ with area 1/h. The geodesic $(0, \gamma) \in \mathbb{HP}$ projected in \mathbb{M} penetrates $\mathbb{B}(h)$ each time $n \in \mathbb{N}$ satisfies: $|0, c_{n-1}, \ldots, c_0| + |c_n, c_{n+1}, \ldots| \ge 2h$.



The Lagrange constant $\mathscr{L}(\gamma)$ is the asymptotic height of $(0, \gamma)$: $\mathscr{L}(\gamma) = \limsup_{n} ([0, c_{n-1}, \dots, c_0] + [c_n, c_{n+1}, \dots])$ $\mathscr{L}(\gamma) = \sup\{L \ge 0: |\alpha - \frac{p}{q}| < \frac{1}{Lq^2} \text{ for infinitely many } p, q \in \mathbb{N}\}$

What values can it take? Bounding size and pattern-complexity of (c_j)

$$\begin{split} \mathscr{L}(\gamma) &= 0 \iff \gamma \in \mathbb{Q} \\ \text{For } \alpha \notin \mathbb{Q} \text{ we have } \mathscr{L}(\gamma) \geqslant \limsup_n \left(\lfloor 0, 1, \ldots, 1 \rfloor + \lfloor 1, 1, \ldots \rfloor \right) = \sqrt{5} \\ \text{If } \mathscr{L}(\gamma) \leqslant 3 \text{ then } (c_j) \text{ is } \lesssim 2 \text{ and has low complexity patterns...} \end{split}$$

Aparte: Deforming the representation $PSL_2(\mathbb{Z}) \rightarrow PSL_2(\mathbb{R})$

Faithful discrete ρ_q : $\mathsf{PSL}_2(\mathbb{Z}) \to \mathsf{PSL}_2(\mathbb{R}) \mod \mathsf{PSL}_2(\mathbb{R})$ -conjugacy

or complete hyperbolic metrics on \mathbb{M} , are parametrized by $q \in \mathbb{R}^*$:

$$q = \begin{pmatrix} q & 0 \\ 1 & q^{-1} \end{pmatrix}$$
 $R_q = \begin{pmatrix} q & 1 \\ 0 & q^{-1} \end{pmatrix}.$



The orbifold $\mathbb{M} = \mathbb{M}_1$ and its deformation \mathbb{M}_q with $q = (2 \sinh \frac{\lambda}{2})^2$

The universal representation $\rho_q \colon \mathsf{PSL}_2(\mathbb{Z}) \to \mathsf{PSL}_2(\mathbb{Z}[q^{\pm 1}])$ is Burau The fixed points of $A_q = \rho_q(A)$ are the *q*-deformed quadratic numbers $\alpha_q \in \mathbb{Z}(q, q^{-1})[\sqrt{\mathsf{disc}(A_q)}]$.

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The modular torus and its fundamental group

The abelianisation $\mathbb{Z}/2 * \mathbb{Z}/3 \to \mathbb{Z}/2 \times \mathbb{Z}/3$ of the modular group corresponds the Galois cover of \mathbb{M} by a punctured torus \mathbb{M}' . A punctured torus with $\pi_1(\mathbb{M}') = \mathsf{PSL}_2(\mathbb{Z})' = \mathbb{Z}_X * \mathbb{Z}_Y$ where: $X = [T^{-1}, S] = LR = (\begin{smallmatrix} 1 & 1 \\ 1 & 2 \end{smallmatrix}) \text{ and } Y = [T, S^{-1}] = RL = (\begin{smallmatrix} 2 & 1 \\ 1 & 1 \end{smallmatrix})$



 $\mathbb{HP} \xrightarrow{\Gamma' \simeq \mathsf{F}_2} \mathbb{M}' \xrightarrow{\Gamma/\Gamma' \simeq \mathbb{Z}/6} \mathbb{M}$

The universal abelian cover of the modular torus

Hurwicz: $\pi_1(\mathbb{M}') \to H_1(\mathbb{M}'; \mathbb{Z}) = \text{Abel}: \mathbb{Z}_X * \mathbb{Z}_Y \to \mathbb{Z}_X \oplus \mathbb{Z}_Y$ corresponds to a Galois cover of \mathbb{M}' by a lattice-punctured-plane \mathbb{M}'' . The Jacobi integration map of \mathbb{M}' based at the cusp $\infty \in \partial \mathbb{M}'$ yields an identification $\mathbb{M}'' \to H_1(\mathbb{M}'; \mathbb{R}) \setminus H_1(\mathbb{M}'; \mathbb{Z})$



 $\mathbb{HP} \xrightarrow{\Gamma'' \simeq \mathsf{F}_{\infty}} \mathbb{M}'' \xrightarrow{\Gamma''/\Gamma' \simeq \mathbb{Z}^2} \mathbb{M}'$

The hexagonal group of isometries

The short exact sequence $\Gamma'/\Gamma'' \rightarrow \Gamma/\Gamma'' \rightarrow \Gamma/\Gamma'$ is split The isometry group $\Gamma/\Gamma'' = (\mathbb{Z}_X \oplus \mathbb{Z}_Y) \rtimes ((\mathbb{Z}/2)_{D_S} \times (\mathbb{Z}/3)_{D_T})$ of \mathbb{M}'' acts like the crystallographic group of the hexagonal lattice.



Action of Γ/Γ'' on the hexagonal graph \mathcal{H} and cusps $\Gamma'' \setminus \Gamma/\langle R \rangle$.

Action of $Map(\mathbb{M}')$ on loops and bases of $\pi_1(\mathbb{M}')$

Loops, simple loops and bases

Loops in $\mathbb{M}' = \text{conjugacy classes of } \mathbb{Z}_X * \mathbb{Z}_Y = \text{cyclic words on } X, Y.$ Simple loops in $\mathbb{M}' = \text{primitive vectors in } H_1(\Gamma'; \mathbb{Z}) = \mathbb{Z}_X \oplus \mathbb{Z}_Y.$ Bases of $\Gamma' = \mathbb{Z}_X * \mathbb{Z}_Y$ correspond to bases of $H_1(\Gamma'; \mathbb{Z}) = \mathbb{Z}_X \oplus \mathbb{Z}_Y,$ hence to pairs of simple loops in \mathbb{M}' with one intersection point (their commutator in Γ' yields the loop circling once around the cusp).

The mapping class group $Map(\mathbb{M}') = Out(\mathbb{Z}_X * \mathbb{Z}_Y) = GL(\mathbb{Z}_X \oplus \mathbb{Z}_Y)$

contains the positive mapping class group $\operatorname{Map}^+(\mathbb{M}') = \operatorname{SL}(\mathbb{Z}_X \oplus \mathbb{Z}_Y)$ (with index 2 and cokernel generated by $(X, Y) \mapsto (Y, X)$), which is generated by the positive Dehn twists along the simple loops X and Y:

$$D_X: (X, Y) \mapsto (X, YX) \qquad D_Y: (X, Y) \mapsto (XY, Y)$$

(whose relations are generated by the braid $D_Y D_X^{-1} D_Y = D_X^{-1} D_Y D_X^{-1}$). The substitution monoid $SL_2(\mathbb{N}_X \oplus \mathbb{N}_Y)$ freely generated by D_X, D_Y acts freely transitively on the set of oriented bases of the monoid $\mathbb{N}_X \oplus \mathbb{N}_Y$. Action of $\mathsf{Map}(\mathbb{M}')$ on simple geodesics of \mathbb{M}'

Which cyclic words on $\{X, Y\}$ correspond to simple loops in \mathbb{M}' ?

For a primitive vector $(p, q) \in \mathbb{Z}^2$, determine the cyclic word in X&Y associated to the unique simple geodesic of \mathbb{M}' homological to X^pY^q . Act by D_S, D_J so that $p \ge q \ge 0$, expand $\frac{p}{q} = \lfloor c_0, \ldots, c_{2n+1} \rfloor$. As $C = R^{c_0} \ldots L^{c_{2n+1}}$ sends $\frac{1}{0}, \frac{0}{1}$ to the last convergents $\frac{p}{q}, \frac{p'}{q'}$: let $D_C = D_Y^{c_0} \ldots D_X^{c_k}$ act by substitution on the basis (Y, X) to find the cyclic words of the simple loops in that basis.

Simple geodesics in \mathbb{M}' from Sturmian sequences on $\{LR, RL\}^{\mathbb{Z}}$

The simple loops of \mathbb{M}' are, up to $\mathbb{Z}/6$ -rotations, the projections of axes $(\alpha_-, \alpha_+) \subset \mathbb{HP}$ with $-1/\alpha_- = \lfloor a_{-1}, \ldots \rfloor$ and $\alpha_+ = \lfloor a_0, \ldots \rfloor$ in $\mathbb{R}_{>1}$ such that (a_n) is a Sturmian sequence on $\{1, 2\}$.

Diophantine approximation of (simple) geodesics in \mathbb{M}'

The Markov-Cohn constant of geodesic $(\alpha_-, \alpha_+) \subset \mathbb{HP} \mod \mathsf{PSL}_2(\mathbb{Z})$ $\mathscr{C}(\alpha_-, \alpha_+)$ is the infimum of $2h \ge 2$ such that (α_-, α_+) intersects $\mathbb{B}(h)$. When $-1/\alpha_- = \lfloor a_{-1}, a_{-2}, \dots \rfloor$ and $\alpha_+ = \lfloor a_0, a_1, a_2, \dots \rfloor$ we have: $\mathscr{C}(\alpha_-, \alpha_+) = \sup_n (\lfloor 0, a_{n-1}, a_{n-2}, \dots \rfloor + \lfloor a_n, a_{n+1}, \dots \rfloor).$

Simple loops in \mathbb{M}' (Haas [Haa87] building on Cohn [Coh71])

For distinct $\alpha_-, \alpha_+ \in \mathbb{RP}^1$ not both rational, the following are equivalent: The geodesic $(\alpha_-, \alpha_+) \subset \mathbb{M}'$ is simple (and closed). The sequence (a_j) is Sturmian on $\{1, 2\}$ (and periodic). The cusp height $\mathscr{C}(\alpha_-, \alpha_+)$ is $\in [\sqrt{5}, 3]$ (and < 3). For such simple $(\alpha_-, \alpha_+) \subset \mathbb{M}'$ we have $\mathscr{C}(\alpha_-, \alpha_+) = 3 \operatorname{coth} (\frac{1}{2}\ell_{\mathbb{M}'}(\alpha))$: If $\mathscr{C} < 3$ then α_{\pm} are conjugate quadratic roots of Markov forms. If $\mathscr{C} = 3$ then α_{\pm} are transcendental ([ADQZ01]). Geometry of the unicity conjecture for the Markov spectrum

The trace relation for all $A, B \in SL_2$, denoting $C_{\pm} = AB^{\pm 1}$

 $\overset{\bullet}{X} \operatorname{Tr}(A) \operatorname{Tr}(B) = \operatorname{Tr}(C_{+}) + \operatorname{Tr}(C_{-})$ by Cayley-Hamilton

• $\operatorname{Tr}[A, B] = \operatorname{Tr}(A)^2 + \operatorname{Tr}(B)^2 + \operatorname{Tr}(C_{\pm})^2 - \operatorname{Tr}(A)\operatorname{Tr}(B)\operatorname{Tr}(C_{\pm}) - 2$

Holed tori = Fuchsian groups $\langle A, B \rangle$ with $Tr[A, B] \leq -2$.

Bases of *punctured* hyperbolic tori yield solutions of Markov cubic For a basis (α, β) of $\pi_1(\mathbb{M}')$ namely simple loops with $i(\alpha, \beta) = 1$, the loop $\gamma = \alpha\beta = D_\beta(\alpha)$ yields bases $(\alpha, \gamma) \& (\gamma, \beta)$ and $[\alpha, \beta] = \bigcirc$. The traces (a, b, c) of superbases (α, β, γ) satisfy $a^2 + b^2 + c^2 = abc$. $D_S, D_T \in SL_2(\mathbb{Z}_X \oplus \mathbb{Z}_Y)$ change (a, b, c) to (b, a, ab - c), (c, b, a)and the orbit of (3, 3, 3) yields *all* integral solutions to Markov cubic.

Markov conjectures unicity length/height spectrum simple loops \mathbb{M}' Simplicity of the *q*-variable spectrum is known.

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Generalizing to other arithmetic surfaces (ongoing work)

Conjecture: quadratic-transcendent dichotomy in arithmetic surfaces In an arithmetic surface $S = \Gamma \setminus \mathbb{HP}$ consider a simple geodesic. If it is not asymptotic to a cusp or to a closed geodesic then any of its lifts in \mathbb{HP} has ends in \mathbb{RP}^1 that are transcendent.



Strategy and philosophy of the proof

Topological simplicity leads (via Map(S) symmetries or dynamics) to low symbolic complexity leads (via Schmidt subspace dichotomy) to arithmetic rigidity (deg \leq 2 over invariant trace field or transcendent)

The example of congruence subgroups

Questions: the simple real quadratic numbers of level N

Which real quadratic numbers arise from end-lifts of simple closed geodesics in the congruence cover \mathbb{M}_n ?

The congruence subgroups $\Gamma(n)$ for n = 3, 4, 5 yield the platonic covers $\mathbb{M}_n = \mathbb{CP}^1$ minus regular tetrahedron, octahedron, icosahedron and $\operatorname{Map}(\mathbb{M}_n, \partial \mathbb{M}_n) = \operatorname{Braid}_n(\mathbb{CP}^1)$ for $n = 3, 5, 11 = \dim H_1(\mathbb{M}_n, \mathbb{Z})$.



Stereographic projections of the platonic triangulations

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Values, limits and periods of the modular $\mathfrak{j}\colon\mathbb{HP}\to\mathbb{C}$

Unique Γ -modular holomorphic function $j \colon \mathbb{HP} \to \mathbb{C}$ uniformizing \mathbb{M}

$$\mathfrak{j}(\infty) = \infty^1 \quad \mathfrak{j}(j) = 0 \quad \mathfrak{j}(i) = 12^3$$

The quadratic transcendence dichotomy (Schneider [Sch49]) For $\tau \in \mathbb{HP} : \mathfrak{j}(\tau)$ is algebraic if and only if τ is complex quadratic.

Fundamental theorem in class field theory \cap complex multiplication For quadratic $\tau \in \mathbb{HP}$, the extension $\mathbb{Q}(\tau)(\mathfrak{j}(\tau))/\mathbb{Q}(\tau)$ is unramified abelian, depending only on the order \mathcal{O} of the lattice $\mathbb{Z}[\tau]$, with Galois group $CI(\mathcal{O})$. This describes all unramified abelian extensions of complex quadratic fields.

Kronecker Jugendtraum : abelian extensions of real quadratic fields ? Study limits of j at $\gamma \in \mathbb{RP}^1$ and cycle integrals along $\alpha \subset \mathbb{M}$?

Periods of Dedekind $\eta^4(z)dz$ or the Abel Jacobi map on \mathbb{M}' Primitive of the abelian differential and cusp compactification Abelian du on \mathbb{M}' lifts on \mathbb{HP} to $C\eta^4(z)dz$ where $C = \frac{2^{10/3}}{3^{3/4}} \frac{\pi^{5/2}}{\Gamma(1/3)^3}$. $\eta^4(6\tau) = q \prod_{1}^{\infty} (1 - q^{6n})^4 = \sum \psi(n) \exp(i2\pi n\tau)$ is [LMF24, 32.2.a.a] the unique normalised cusp eigenform for the group $\Gamma_0(36)$. The primitive hexp $(\tau) = \int_{\infty}^{\tau} C \eta^4(z) dz = \frac{12C}{i\pi} \sum_{n=1}^{\infty} \frac{\psi(n)}{n} \cdot \exp\left(\frac{i\pi}{12}n\tau\right)$ yields hexp: $\mathbb{HP} \to \mathbb{C} \setminus \Lambda$ uniformizing $H_1(\mathbb{M}'; \mathbb{R}) \setminus H_1(\mathbb{M}'; \mathbb{Z}) = \mathbb{M}''$. Cusp compactification $\partial \operatorname{hexp} \mathbb{QP}^1 \to \Lambda = H_1(\mathbb{M}'; \mathbb{Z}) = \Gamma' / \Gamma''.$

Periods of the abelian differential

For coprime $a, c \in \mathbb{Z}$, there exists $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma'$: let $A \equiv X^m Y^n \mod \Gamma''$. The limit of the improper integral and conditionally convergent series:

$$\partial \operatorname{hexp}(\frac{a}{c}) = \int_{\infty}^{\frac{a}{c}} C\eta^4(z) dz = \frac{12C}{i\pi} \sum_{n=1}^{\infty} \frac{\psi(n)}{n} \cdot \operatorname{exp}\left(\frac{i\pi}{12}\frac{a}{c}n\right)$$

is $\partial \operatorname{hexp}(\frac{a}{c}) = |\omega_0| \left(m \operatorname{exp}(-\frac{i\pi}{6}) + n \operatorname{exp}(+\frac{i\pi}{6}) \right)$ where $|\omega_0| = \frac{2\pi^{1/2}}{3^{1/4}}$.

The Radial compactification Shexp: $\mathscr{R} \to \mathbb{S}H_1(\mathbb{M}'; \mathbb{R})$

We define the radial compactification Shexp: $\mathscr{R} \to \mathbb{R}/2\pi\mathbb{Z}$

 \mathscr{R} = the set of $\alpha \in \mathbb{R}\setminus\mathbb{Q}$ such that as $\tau \in \mathbb{HP}$ converges to $\alpha \in \partial \mathbb{HP}$, the argument arg hexp $(\tau) \in \mathbb{R}/(2\pi\mathbb{Z})$ converges to Shexp (α) , namely the geodesic hexp $(i, \alpha) \subset \mathbb{M}''$, following the *L*&*R*-cf-expansion of α , escapes in a definite direction which defines Shexp $(\alpha) \in \mathbb{S}H_1(\mathbb{M}'; \mathbb{R})$.



Shexp(α) recovers the slope of the parallel Sturmian sequences to α Shexp: $\mathscr{R} \to \mathbb{R}/2\pi\mathbb{Z}$ restricts to continuous surjection $\mathscr{S} \to \mathbb{R}/2\pi\mathbb{Z}$

Continued fraction expansion for $hexp(\lambda)$... and $Shexp(\gamma)$?

The modular function $\lambda \colon \mathbb{HP} \to \mathbb{C} \setminus \{0, 1, \infty\}$ uniformizes the congruence cover $\mathbb{M}(2)$ of \mathbb{M} associated to the congruence subgroup $\Gamma(2)$ of Γ . The cover $\mathbb{HP}/\Gamma(2) \to \mathbb{HP}/\Gamma$ has solvable Galois group \mathfrak{S}_3 so λ is an algebraic function of j, namely $j = \frac{27(1-\lambda+\lambda^2)^3}{4\lambda^2(1-\lambda)^2}$.

Gauss continued fraction for consecutive hypergeometric ratios yields With [KZ03] we find $\int_{\infty}^{\tau} \eta^4(z) dz = \frac{3}{i2\pi} \left(\frac{1}{2}\lambda\right)^{1/3} \cdot {}_2F_1(1/3, 2/3, 4/3; \lambda(\tau))$ so: $hexp(\tau) = \int_{\infty}^{\tau} C\eta^4(z) dz = \frac{3C}{i2\pi} \left(\frac{1}{2}\lambda(1-\lambda)\right)^{1/3} \cdot \frac{1}{1 - \frac{n_1\lambda}{1 - \frac{n_2\lambda}{1 - \dots}}}$ where for $k \in \mathbb{N}$: $n_{2k+1} = \frac{(k+1/3)}{2(2k+1/3)}$ and for $k \in \mathbb{N}^*$: $n_{2k} = \frac{k}{2(2k+1/3)}$.

A continued fraction expansion for tan \circ Shexp: $\mathscr{R} \to \mathbb{RP}^1$?